USA Swimming ATHLETE SELECTION PROCEDURES 2018 Pan Pacific Championships

The 2018 Pan Pacific Championships (the "Championships") will be conducted in Tokyo, Japan, August 9-12, 2018.

DEFINITIONS

Available Swimmer. "Available Swimmer" means a swimmer who (i) qualifies to be Nominated to the Team in an Individual Olympic Event according to these Selection Procedures and has complied with all eligibility requirements set forth in Sections 1.1-1.2 of these Selection Procedures; (ii) certifies to USA Swimming that he/she remains available to swim through the completion of the Championships; and (iii) indicates in writing that he or she will accept the invitation to be Selected to the Team when that invitation is offered by USA Swimming and to swim in at least one of the Individual Olympic Events for which he/she qualifies in the Championships (except as otherwise provided herein). If a swimmer does not satisfy these requirements, then he/she is not considered an Available Swimmer.

<u>FINA Points Table, 2018</u>. FINA Points Table, 2018 means the applicable FINA Points Table (i.e., men or women) updated as of February 2018, and found on the website https://www.fina.org/content/fina-points.

<u>Finals</u>. "Finals" means the Final heat that is conducted in each Individual Olympic Events at the Qualifying Competition from which the Qualifying Competition finish order is determined. The results of the Finals will be used to determine the finish order for the top eight places. The results of the "B" Finals, "C" Finals and preliminary heats of the Qualifying Competition will be used to determine finish order immediately lower than those in the Finals (i.e., 9th place and below).

Individual Olympic Event. "Individual Olympic Event" refers to those individual pool competition events contested at the 2020 Olympic Games. If the final Individual Olympic Event list is not known at the time of Qualifying Competition, the Individual Olympic Events will be those individual events conducted at the 2016 Olympic Games, along with the Men's 800 Freestyle and the Women's 1500 Freestyle.

<u>Head Coach</u>. Unless otherwise indicated, "Head Coach" refers to the head coach of the Team for the applicable gender (i.e. the Men's Team Head Coach or Women's Team Head Coach).

Modified World Ranking. See "World Ranking", below.

National Team Managing Director. "National Team Managing Director" refers to the USA Swimming National Team Managing Director, or his/her designee.

<u>Select/Selected/Selection to the Team</u>. "Select/Selected/Selection" refers to the Team selected by USA Swimming at approximately 9:00 p.m. PDT on July 29, 2018.

Qualifying Competition. "Qualifying Competition" shall mean the 2018 Phillips 66 USA Swimming National Championships, to be held July 25-29, 2018, in Irvine, Calif. Preliminary heats, time trials and intermediate splits will NOT be considered for selection, but may be considered in making other determinations as described herein.

<u>Team</u>. "Team" means the 2018 Pan Pacific Championships Team selected during the Qualifying Competition and announced at approximately 9:00 p.m. PDT on July 29, 2018.

<u>Technical Advisor</u>. "Technical Advisor" shall mean the USA Swimming National Team Technical Advisor, or his/her designee.

<u>World Ranking</u>. "World Ranking" means the World Ranking list downloaded from the <u>fina.org</u> website at approximately 8:00 a.m. PDT on July 25, 2018, covering the period of January 1, 2017 through and including July 20, 2018.

Modified World Ranking. "Modified World Ranking" means the World Ranking list as modified by integrating all times from the Finals of the Qualifying Competition. An athlete's Modified World Ranking shall be determined by his/her time from the Finals of the Qualifying Competition. The results of the "B" Finals, "C" Finals and preliminary heats of the Qualifying Competition will be used to determine finish orders immediately lower than those in the Finals (i.e., 9th place and below).

1. SELECTION SYSTEM

- 1.1. Provide the minimum eligibility requirements for an athlete to be considered for Selection to the Team:
 - 1.1.1 In order to be considered for Selection to the Team, an athlete must, at the beginning of the Qualifying Competition and at the time of Selection to the Team:
 - (a) be a registered athlete member of USA Swimming in good standing;
 - (b) be a citizen of the United States; and
 - (c) be eligible to represent the United States in the Championships.

In order to be considered for Selection to the Team, an athlete must, at the time of Selection to the Team, be an Available Swimmer.

1.1.2 Nationality/Passport requirements:

Athletes must hold a valid U.S. passport that will not expire for six months after the conclusion of the Championships.

1.1.3 Other requirements (if any):

- (a) An athlete must qualify for the Qualifying Competition, as described in Section 1.2, and compete in the Qualifying Competition;
- (b) Each swimmer that has been Selected to the Team must sign and return to USA Swimming, by 11:59 p.m. PDT on July 30, 2018, and abide by, the USA Swimming applicable competition forms for 2018 (to be posted prior to the start of the Qualifying Competition).
- (c) Each swimmer that has been Selected to the Team must comply with all applicable rules and requirements of, FINA, WADA, USADA, Championship rules, and USA Swimming, including without limitation USA Swimming policies and protocols regarding Team preparation and participation, through the Championships.

1.2 Tryout Events:

1.2.1 Provide the event names, dates and locations of all trials, events and camps to be used as part of the selection process:

The Qualifying Competition

- 1.2.2 Provide event names, dates, locations and description of how athletes qualify for the trials, events and camps listed above in 1.2.1 (if any):
 - (a) The Qualifying Competition is the selection competition for the 2018 Pan Pacific Championships. To qualify for the Qualifying Competition, an athlete must achieve an applicable Qualifying Competition time standard between June 1, 2017 and the entry deadline for the Qualifying Competition. The Qualifying Competition time standards can be found at https://www.usaswimming.org/Home/times/time-standards

1.3 Comprehensive Selection Process:

This Section 1.3 sets forth the steps by which Available Swimmers will be selected for Selection to the Team. The process of determining who is eligible to be Selected to the Team will take place at or before 9:00 p.m. PDT on July 29, 2018, after completion of the Qualifying Competition. The results from the application of these Selection Procedures will be announced at approximately 9:00 p.m. PDT on July 29, 2018, although USA Swimming retains the right to make changes to the results to address withdrawals, vacancies through 11:59 a.m. PST on July 30, 2018 (when the final entries are due to the local organizing committee). The specific steps for determining the athletes to be Selected to the Team are as follows:

1.3.1 Team Size. The maximum allowable Team size is twenty-six (26) men and twenty-six (26) women. The actual Team size will be determined once these Selection Procedures

have been implemented. For purposes of these Selection Procedures, the men's and women's components of the Team will be considered separately.

- 1.3.2 Qualifying Competition. Performances at the Qualifying Competition, from among those eligible to compete in the Qualifying Competition as provided in Section 1.2 above, will be used to determine the Team. Performances at the Qualifying Competition will be prioritized using the priority system described below.
- 1.3.3 Application of Priorities for Team Selection. Performances in the Individual Olympic Events at the Qualifying Competition will be prioritized for each gender as described in this Section 1.3.3. These Priorities will be applied on or before 9:00 p.m. PDT on July 29,2018, after completion of the Qualifying Competition. Swimmers not qualifying via one of these priorities will not be eligible to be Selected to the Team, unless they are subsequently added to the Team as a replacement according to the process set forth in Section 4.
 - (a) Priority #1. The first priority will be comprised of both (i) the four best finishing Available Swimmers based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events, and (ii) the best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the Individual Olympic Events other than the 100-meter and 200-meter freestyle.
 - (b) Priority #2. The second priority will be comprised of the second best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the Individual Olympic Events other than the 100-meter and 200-meter freestyle.
 - (c) Priority #3. The third Priority shall be the third fastest Available Swimmer based on the finish order in the Finals of the Qualifying Competition in each of the Individual Olympic Events other than the men's and women's 100-meter and 200-meter freestyle events.
 - (d) Priority #4. The fourth Priority shall be the fourth fastest Available Swimmer based on the finish order in the Finals of the Qualifying Competition in each of the Individual Olympic Events other than the men's and women's 100- meter and 200-meter freestyle events.
- 1.3.4 Procedure if Selection to the Team Stand to Exceed 26 Per Gender. If the application of the procedures set forth in Sections 1.3.3 results in a list of either more than twenty-six (26) male or more than twenty-six (26) female swimmers (or both) to be Selected to the Team, then the swimmers of the gender with more than 26 swimmers to be Selected to the Team shall be ranked and Selected to the Team according to the following procedure:
 - (a) All swimmers from Priority #1 shall be Selected to the Team.
 - (b) All swimmers from Priority #2 will be Selected to the Team; provided, however, that if adding all swimmers from Priority #2 would result in more than twenty-six (26) swimmers for a gender to be Selected to the Team, then the swimmers within Priority #2 shall be Selected to the Team in order of the best Modified

- World Ranking for the Event for which they qualify until a maximum of twenty-six (26) swimmers for that gender are Selected to the Team.
- (c) If all Priority #2 swimmers are Selected to the Team and the maximum Team size of twenty-six (26) swimmers per gender has not been attained, then the same procedure of using the best Modified World Rankings will be used to rank the swimmers from Priority #3, and Priority #3 swimmers will be Selected to the Team in order of their Modified World Rankings until the team is full. If, after adding Priority #3 swimmers to the list of those to be Selected to the Team, the Team is not full, then Priority #4 swimmers will be Selected to the Team in order of their Modified World Rankings until the team is full, or until the list of Available Swimmers from Priority #1 through Priority #4 has been exhausted.

1.3.5 Breaking a Tie

- (a) Tie in Same Individual Olympic Event. In the instance where there is a tie between two swimmers in the same Event for first place, both swimmers will be classified under Priority #1. In the instance where there is a tie between three or more swimmers for first place in the same Individual Olympic Event or where there is a tie between two or more swimmers in the same Individual Olympic Event for second place, a swim-off will be conducted at a time determined by the National Team Managing Director, Technical Advisor, the swimmers, and the personal coaches of the swimmers. If the parties are unable to agree on a date and time for the swim-off, then the date and time will be established by the National Team Managing Director. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. The swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s). The swim-off results will only be used to determine places of those involved in the swim-off and may not be used to change the finish order otherwise determined at the Qualifying Competition (for example, the winner of the swim-off between the second and third place finishers at the Qualifying Competition may not use his/her time in the swim-off to move to Priority #1 if the swim-off time is better than the time of the winner of the Qualifying Competition).
- (b) Tie in Determining Selection to Team Involving Swimmers from Different Individual Olympic Events. In the instance where the application of Modified World Rankings is used to rank swimmers to be Selected to the Team (e.g. Sections 1.3.5(b)-(c)), and where the application of the Modified World Rankings results in a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:
 - (i) Each swimmer's fastest performance swum in his/her Individual Olympic Event at the Finals of the Qualifying Competition will be ranked according to the FINA Points Table, 2018. The swimmer who has the best ranking shall be Selected to the Team.
 - (ii) If this fails to break the tie, each swimmer's performance swum in his/her Individual Olympic Event at the Finals of the Qualifying Competition will be converted into a ratio, using the swimmer's Qualifying Competition time as the denominator, and the FINA-approved World Record for that Individual Olympic

Event as of July 20, 2018 as the numerator, and the swimmer(s) with the highest percentage shall be Selected to the final position(s) on the Team. A World Record set at the Qualifying Competition would not qualify as a FINA-approved World Record because the record would not yet be officially approved by FINA.

- (iii) If this fails to break the tie, the fastest time swum by each swimmer in his/her Individual Olympic Event during the period from January 1, 2017 July 29, 2018 will be ranked according to the Modified World Ranking lists, and the swimmer(s) will be Selected to the Team in order of the best ranking.
- (iv) If this fails to break the tie, then the swimmer with the highest Modified World Ranking in an Individual Olympic Event other than his/her Individual Olympic Event involved in the tie shall be Selected to the Team (e.g. if a swimmer's results in the 100m freestyle are involved in the tie, then that swimmer's highest Modified World Ranking in an Individual Olympic Event other than the 100m freestyle shall be considered).
- (v) If this fails to break the tie, each swimmer's fastest performance swum in an Individual Olympic Event at the Finals of the Qualifying Competition other than the Individual Olympic Event that is the subject of the tie will be ranked according to the FINA Points Table, 2018. The swimmer who has the best ranking shall be Selected to the Team. If only one swimmer involved in the tie swam a second Individual Olympic Event at the Qualifying Competition, then that swimmer will be Selected to the Team.

<u>Announcement</u>. Those officially Selected to the Team will be announced at approximately 9:00 p.m. PDT on July 29, 2018, after completion of the Qualifying Competition.

1.3.6 Selection of Swimmers for Events

- (a) Athletes selected to the Team will be eligible to swim any Individual Olympic Event at the Championships, but must swim at least one Individual Olympic Event for which they qualify for the Team.
- (b) Based on existing Championships rules, there will be no "protected" swims at the Championships, and any eligible Team member may swim in the heats of any Individual Olympic Event.
- (c) All swimmers who are Selected to the Team shall be eligible for entry into additional events held at the Championships and to qualify for the 2019 World Championships, provided that the athlete swims at least one Individual Olympic Event in which he/she qualifies for the Championships. Only times from Finals (A & B) and Timed Final events at the Championships will be considered for selection to the World Championship Team; preliminary heats, time-trials, swim-offs and intermediate splits will not be considered for selection to the World Championships Team.

2 DISCRETIONARY SELECTION

2.1 Provide rationale for utilizing discretionary selection (if any):

All swimmers who are Selected to the Team shall be eligible for selection to any and all relays to be held at the Championships. The Head Coach in consultation with the National Team Managing Director, Technical Advisor and the assistant coaches, shall select the swimmers for each relay conducted at the Championships. In selecting the relay teams, the Head Coach shall consider all available relevant information, including but not limited to each Team member's Qualifying Competition performances (including preliminary times, time trials, swim-offs and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, Championships performances, personal best performances, Championships event schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry.

2.2 List the discretionary criteria and explain how they will be used (if any):

See Section 2.1

2.3 Provide the name of the committee that will be responsible for making discretionary selections, along with a complete list of the members' titles currently serving on the committee:

See Section 2.1

2.4 Specify the process that will be used to identify and handle any potential conflicts of interest involving a member of the committee:

In regards to selection of relays, if the National Team Managing Director, Technical Advisor or any of the Head Coaches or assistant coaches has a possible conflict of interest, he/she must disclose it. However, if such individual has relevant and necessary information with respect to athlete performance, he/she may provide such information, so long as it is provided in a fair manner.

3 REMOVAL OF ATHLETES

- 3.1 An athlete who is to be Selected to the Team by USA Swimming may be removed as a member of the Team for any of the following reasons, as determined by USA Swimming's National Team Managing Director and Technical Advisor. An athlete who is to be removed from the Team or an Individual Olympic Event or from eligibility to be Selected to the Team, pursuant to these provisions, has the right to a hearing per the USA Swimming Bylaws (usaswimming.org), the USOC's Bylaws, Section 9, or the applicable anti-doping code/protocol before such removal or declaration of ineligibility.
 - 3.1.1 An athlete may choose to voluntarily withdraw from an Individual Olympic Event or the Team. The athlete must submit in writing any withdrawal to the National Team Managing Director. An athlete considering withdrawal is

- strongly encouraged to submit the written withdrawal by 8:00 p.m. PDT on July 29, 2018.
- 3.1.2 USA Swimming may remove an athlete who has an injury or illness, as certified by the approved Championships team physician that is likely to keep him or her from competing at peak levels in the Championships. If an athlete refuses verification of his/her illness or injury by the approved Championships team physician (or his/her medical staff), his/her injury will be assumed to keep him or her from competing at peak levels in the Championships, and he/she may be removed.
- 3.1.3 An athlete may be removed if the National Team Managing Director, and Technical Advisor in consultation with the Head Coaches, the USA Swimming Steering Committee Chairman and the USA Swimming Athletes' Vice Chair, determine that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the Championships. (See Participation and Commitment Agreement, which is included in Attachment A.)
- 3.1.4 Any swimmer may be dismissed from the Team at any time if the swimmer violates any of the applicable FINA, Championships, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct or Honor Codes.
- 3.1.5 If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be Selected to the Team) until: (i) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing or by FINA; (ii) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or (iii) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be Selected to the Team.

4 REPLACEMENT OF ATHLETES

- 4.1 Describe the selection and approval process for determining replacement athlete(s) should a vacancy occur:
 - 4.1.1. Adjustments to the Team and Individual Olympic Events from the time of Selection to the Team (approximately 9:00 p.m. PDT July 29, 2018 through 11:00 a.m. PDT July 30, 2018):

If there is a vacancy in an Event that occurs between 9:00 p.m. PDT on July 29, 2018, through 11:00 a.m. PDT July 30, 2018, USA Swimming will add the next Available Swimmer to the Team in the Individual Olympic Event however, **no swimmer who is**

already on the Team may be taken off the Team as a result of the application of this procedure:

- (a) Filling Vacant Event Positions with Team Vacancy.
 - (i) Filling Vacant Event Positions in all Individual Olympic Events.

For all Individual Olympic Events, if the Team is not full (fewer than twenty-six (26) swimmers per gender) after a swimmer declines a position in an Individual Olympic Event for any reason, or Individual Olympic Event positions otherwise become vacant, then the vacancy in any such Individual Olympic Event(s) shall be filled by the next fastest Available Swimmer in that Individual Olympic Event. If the next fastest Available Swimmer is already on the Team, and he/she agrees after consulting with the Head Coach, the Technical Advisor, and National Team Managing Director to swim in the additional Individual Olympic Event, then he/she shall serve as the replacement in that Individual Olympic Event. If he/she declines to swim in the additional Individual Olympic Event, then he/she shall not be considered an Available Swimmer for that Individual Olympic Event, and the next fastest Available Swimmer will be considered. If the next Available Swimmer is not already on the Team, then that swimmer will be selected according to Section 1.3.3. If adding the next Available Swimmer from each Individual Olympic Event left vacant would cause the Team to exceed the maximum number (twenty-six (26) per gender), then those who qualify as the next fastest Available Swimmer in the Individual Olympic Events left vacant shall be ranked according to the procedure described in Section 1.3.5(b). Those ranked highest according to that procedure shall be added to the Team in order until the Team reaches twenty-six (26) per gender, and any remaining Individual Olympic Event vacancy will be filled from within the Team pursuant to Section 4.1.1(ii) below.

(ii) Filling Vacant Event Position with No Team Vacancy.

If the Team is still full (twenty-six [26] per gender) after the withdrawal from an Individual Olympic Event, the vacancy in any Individual Olympic Event(s) will be filled by an Available Swimmer already on the Team. First priority will be given to the next highest place finisher at the Qualifying Competition. If no swimmer already on the Team swam the Individual Olympic Event at the Qualifying Competition, then the Available Swimmer on the Team with the highest World Ranking in the Individual Olympic Event will be selected; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach, Technical Advisor, and National Team Managing Director, to compete in the additional Individual Olympic Event. If the replacement swimmer does not agree to swim in the additional Event, then this process will repeat until the vacant Individual Olympic Event is filled.

4.1.2. Adjustments to the Team and Events after submission of Entries by Name to the Local Organizing Committee:

(a) After July 30, 2018, no swimmers will be added to the Team, and the Available Swimmer already on the Team who has recorded the fastest time in a vacant Individual Olympic Event during the period from January 1, 2018, through July 29, 2018, will serve as the replacement in that Individual Olympic Event; provided, however, that the replacement must agree, after consulting with the Head Coach, Technical Advisor, and National Team Managing Director, to compete in the vacant Event. If the replacement does not agree to swim in the vacant Individual Olympic Event, then this process will repeat until the vacant Individual Olympic Event is filled.

5. SUPPORTING DOCUMENTS

USA Swimming will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the end of the Championships.

6. REQUIRED DOCUMENTS

The documents included as Attachment B are required to be signed by an athlete as a condition of Selection to the Team.

7. PUBLICITY/DISTRIBUTION OF PROCEDURES

USA Swimming will publish the selection procedures in the following locations:

USA Swimming website:

8. DATE OF SELECTION

The Selection of Athletes form will be published on the USA Swimming website on or before 11:59 p.m. PDT July 30, 2018.

9. MANDATORY TRAINING AND/OR COMPETITION

Specify the location, schedule and duration of mandatory training and/or competition:

<u>Event</u>	<u>Date</u>	<u>Site</u>
Domestic Training Camp	July 30-Aug 4	Dana Point, CA
International Training	Aug 5-8	Tokyo, Japan
Competition	Aug 9-12	Tokyo, Japan
Return home	Aug 13	•

10. ANTI-DOPING REQUIREMENTS

Athletes must adhere to all, WADA, FINA, and USADA anti-doping protocols, policies and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the WADA, FINA, and USADA Rules, as applicable.

11. USA SWIMMING BYLAWS AND GRIEVANCE PROCEDURES

USA Swimming Bylaws and Grievance Procedures can be found at: usaswimming.org

12. DISCLAIMER

These procedures are based on Championships rules and/or USA Swimming rules and regulations, as applicable and as presently known and understood. Any change in the Selection Procedures caused by a change in Championship or USA Swimming rules and regulations will be distributed to the affected athletes immediately. The Selection Procedures are based on the latest information available to USA Swimming. However, the Selection Procedures are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the altercation or cancellation of any of the selection events listed in this document, these Selection Procedures will be revised.

The terms and conditions of these written Selection Procedures shall take priority over any verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete relies on a verbal explanation, clarification or interpretation of these Selection Procedures <u>at his or her sole risk</u>. Any request or question that would involve a formal clarification or interpretation of these Selection Procedures, or that would address an issue not otherwise covered in these Selection Procedures, should be submitted to the National Team Managing Director at USA Swimming <u>in writing</u> by no later than June 1, 2018, and the response to such request by USA Swimming must be provided in writing and, where appropriate, must be published promptly on the USA Swimming website.

An athlete who is Nominated to the Team by virtue of an error or oversight by USA Swimming may be removed from the Team at any time.

ATTACHMENT A

USA SWIMMING

2018 PAN PACIFIC CHAMPIONSHIPS PARTICIPATION AND COMMITMENT

I agree to abide by the Pan Pacific Championship USA Swimming Athlete Selection Procedures, Pan Pacific Championships camp and competition requirements, competition protocols, the Honor Code and the USA Swimming Code of Conduct.

I agree to fully commit to a comprehensive training program designed for a competitive performance at the 2018 Pan Pacific Championships. If for any reason I become unable to fulfill this commitment, I will immediately notify the National Team Managing Director.

I understand that I may be removed from the 2018 Pan Pacific Championships if circumstances interfere with my preparation for peak performance.

Championships Te		to give out my contact information for Pan Pacific
•	ing National Team Division permission aff use:No	to give out my contact information for internal
Name	Signature Date	Printed
If under 18:		
 Name	Parent Signature Date	Parent Printed